

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Yasushi Tohi et al.

Application No.: 10/695,831

Filing Date: October 30, 2003

Title: PROCESS FOR PREPARING LOW
MOLECULAR WEIGHT OLEFIN (CO)
POLYMER AND POLYMERIZATION
CATALYST USED THEREFOR



MAIL STOP ISSUE FEE

Group Art Unit: 1713

Examiner: C CAIXIA LU

Confirmation No.: 7189

THIRD INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed is a THIRD Information Disclosure Statement (IDS) and accompanying form PTO-1449 for the above-identified patent application.

- ☐ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180 as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☒ A statement under 37 C.F.R. § 1.97(e), and the fee of \$180 as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge _____ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of _____ is enclosed for the fee due.
- ☒ Charge \$180 to credit card for the fee due. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BUCHANAN INGERSOLL & ROONEY PC

Date March 30, 2007

By: _____

Robert G. Mukai

Robert G. Mukai
Registration No. 28531

P.O. Box 1404
Alexandria, VA 22313-1404
703 836 6620



IPW

Patent
Attorney's Docket No. 1000023-000074

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|--------------------------------|---|----------------------------|
| In re Patent Application of |) | MAIL STOP ISSUE FEE |
| Yasushi Tohi et al. |) | |
| Application No.: 10/695,831 |) | Group Art Unit: 1713 |
| Filed: October 30, 2003 |) | Examiner: C CAIXIA LU |
| For: PROCESS FOR PREPARING LOW |) | Confirmation No.: 7189 |
| MOLECULAR WEIGHT OLEFIN (CO) |) | |
| POLYMER AND POLYMERIZATION |) | |
| CATALYST USED THEREFOR |) | |

THIRD INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, applicants hereby submit one of the two documents cited in an Official Action dated February 7, 2007 in the corresponding Japanese application in conformance with the provisions of 37 C.F.R. §§ 1.97 and 1.98. The other document has previously been provided in the Information Disclosure Statement filed on April 30, 2004.

To assist the Examiner, the document is listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

CERTIFICATION UNDER 37 C.F.R. §1.97(e)

I, the undersigned, hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

04/03/2007 MGBREM1 00000001 10695831

01 FC:1806

180.00 OP

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BUCHANAN INGERSOLL & ROONEY PC

Date: March 30, 2007

By: Robert G. Mukai
Robert G. Mukai
Registration No. 28531

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Alexandria, VA 22313-1404
703 836 6620

(use as many sheets as necessary)

Sheet 1 of 1

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|----------------------|---------------------|
| Application Number | 10/695,831 |
| Filing Date | October 30, 2003 |
| First Named Inventor | Yasushi Tohi et al. |
| Examiner Name | C CAIXIA LU |
| Attorney Docket No. | 1000023-000074 |

PATENT & TRADEMARK OFFICE

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| FOREIGN PATENT DOCUMENTS |
|---------------------------------|

[illegible]

NON-PATENT LITERATURE DOCUMENTS

| Examiner Initials | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
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***Copy attached.**

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.